

# FDA Review of Biomarkers

OARSI OA BM Workshop III

Imaging Biomarker

July 12, 2012

Sahar M. Dawisha, M.D., FACP, FACR

Medical Officer

FDA/CDRH/OIVD

## Overview

- FDA Regulatory Definitions
- Pathways for BM review in FDA
  - BM Qualification
  - CDER Review process
  - CDRH Review process
- Resources

## Definition: BM

- Biomarker: Objectively measured and evaluated characteristic as an indicator of normal or pathogenic biological process, or biological response to therapeutic intervention.<sup>1</sup>
  - Prognostic
  - Predictive
  - Pharmacodynamic (activity)

<sup>1</sup>Biomarkers Definitions Working Group (2001). *Clinical Pharmacology and Therapeutics*, 69, p.89-95.

3

## Definition: BM (continued)

- Prognostic BM: Baseline patient characteristic which categorizes patients by degree of risk for disease occurrence or progression.
  - Informs natural history
  - Absence of therapeutic intervention
- Predictive BM: Baseline characteristic which categorizes patients by likelihood of response to particular treatment.
- Pharmacodynamic (activity): Dynamic assessment which shows that a biological response has occurred after therapeutic intervention.

4

## Surrogate Endpoint

- Definition: Biomarker intended to substitute for a clinical endpoint.
  - Expected to predict clinical benefit, harm, or lack of benefit or harm.
  - Based on scientific evidence.
  - A subset of pharmacodynamic biomarkers

5

## Pathway: BM Qualification

- Definition: Conclusion that within stated “context of use”, BM demonstrates specific interpretation and application in decision-making.
  - Specific interpretation
  - Application in drug development
  - Regulatory decision making

6

## BM Qualification

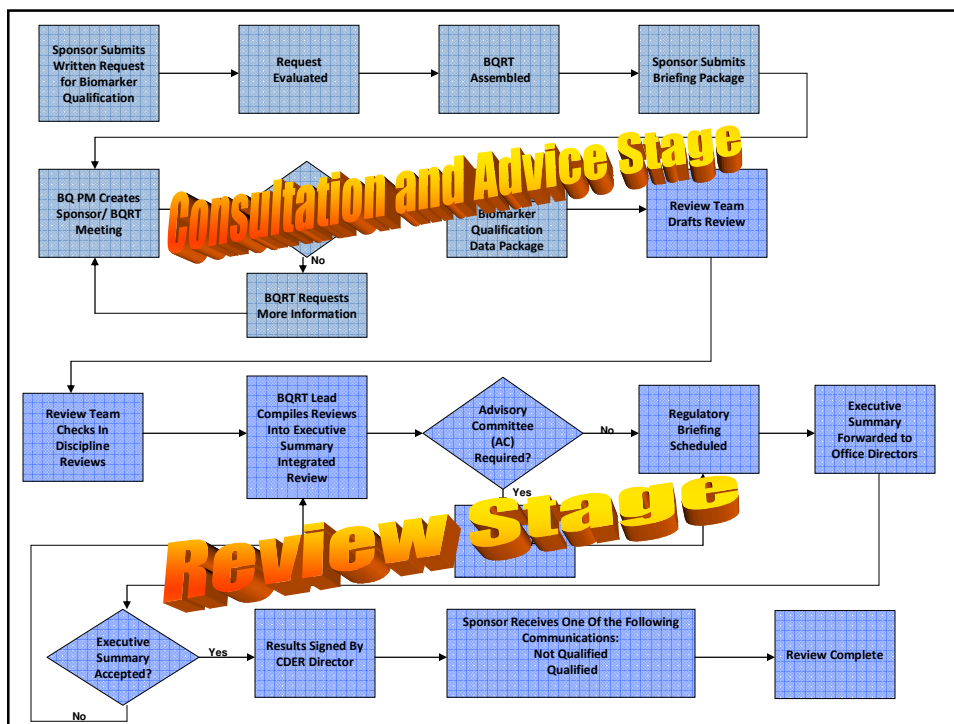
- Voluntary process
- Advances drug development
- Used by many sponsors
- Collaborative effort
- Promotes development of good BM
- Possibility of personalized therapy
- Central Administrator
- Two stage process

7

## BM Qualification: Context of Use

- Describes manner of use, interpretation, and purpose of BM in drug development encompassing:
  1. Identification
  2. Species
  3. Population
  4. General Purpose
  5. Specific drug development or regulatory decision addressed
  6. Interpretation

8



## Qualified BM Examples

Sponsor	Biomarkers	Context of Use
Predictive Safety & Testing Consortium (PTSC) Nephrotoxicity Working Group (2008)	Urinary kidney biomarkers: KIM-1, Albumin, Clusterin, Trefoil factor-3 → ATN TP, β2-MG, Cysatin C → GLN damage	Detection of acute drug-induced nephrotoxicity in rats to complement BUN and Cr in GLP rat studies
International Life Sciences Institutes (ILSI)/Health and Environmental Sciences Institute (HESI) (2010)	Renal Papillary Antigen 1 Clusterin	Drug induced nephrotoxicity in rats
PJ O'Brien, WJ Reagan, MJ York, MC Jacobsen (2012)	Cardiac troponins T and I	Safety assessment in rats, dogs

## Pathway: CDER Review

- Contact individual CDER review divisions
- Exploratory BM generally not qualified or cleared/approved
- Case by case basis for BM intended for patient selection or enrichment
- Review divisions: CDER/OND/ODE II/DPARP for disease modifying drugs in OA
- CDER/OND/ODE II/DAAP for symptom treatment in OA

11

## Pathway: CDRH Review

- Most BM “cleared” via 510(k) process
- High risk BM “approved” via PMA process
- Review Group is CDRH/OIVD for both serum and radiographic BM

12

## What is a Medical Device?

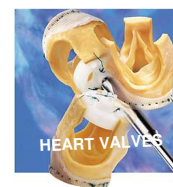
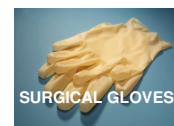
Instrument, apparatus, implement, machine, contrivance, implant, in vitro reagent, or other similar or related article, including any component, part, or accessory, which is –

- recognized in the official National Formulary, or the United States Pharmacopeia, or any supplement to them;
- intended for use in the diagnosis of disease or other conditions, or in the cure, mitigation, treatment, or prevention of disease, in man or other animals, or
- intended to affect the structure or any function of the body of man or other animals, and which does not achieve its primary intended purposes through chemical action within or on the body of man or other animals and which is not dependent upon being metabolized for the achievement of its primary intended purposes.

13

## Risk-Based Paradigm

- Class I: simple, low risk devices
  - General controls
  - Most exempt from pre-market submission
- Class II: more complex, higher risk
  - Performance standards
  - Pre-market Notification[510(k)]
- Class III: most complex, highest risk
  - Clinical data needed
  - Pre-market Application [PMA]



14

## What is an IVD?

- Reagents, instruments, and systems intended for use in the diagnosis of disease or other conditions, including a determination of the state of health.
- Intended to *cure, mitigate, treat, or prevent disease* or its sequelae.
- Collection, preparation, and examination of *specimens taken from the human body*.
- These products are devices and may also be biological products.
- 21 CFR 809.3

15

## Companion Diagnostics

- Defined as essential for safe and effective use of therapy
- Identify patients most likely to benefit from a particular therapy
- Identify patients at increased risk for SAE
- Monitor response to treatment

Example: HER-2 testing for Herceptin therapy in metastatic breast and gastric cancer

16



## Resources

- DDT Guidance Document:  
<http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM230597.pdf>
- BM Qualification Information:  
<http://www.fda.gov/Drugs/DevelopmentApprovalProcess/DrugDevelopmentToolsQualificationProgram/ucm2840>

17

## Resources Continued

- Clinical Trial Imaging Endpoints Guidance:  
<http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM268555.pdf>
- Companion Diagnostic Devices Guidance:  
<http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM268555.pdf>

18

Thank You!

[Sahar.Dawisha@fda.hhs.gov](mailto:Sahar.Dawisha@fda.hhs.gov)

301-796-6192